

# New Non-Extremal Triple Arrays

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Based on joint work with Lars-Daniel Öhman

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# What is a triple array?

- An  $(r \times c)$ -array on  $v$  symbols with no repetitions in rows or columns
- every symbol appears  $e$  times
- every two rows have  $\lambda_{rr}$  common symbols
- every two columns have  $\lambda_{cc}$  common symbols
- every row and column have  $\lambda_{rc}$  common symbols

1	2	3	4	5	6	7	8	9
2	3	4	5	6	10	8	11	12
5	7	1	10	11	8	12	9	3
12	10	11	9	7	1	4	2	6

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## Why triple arrays?

- ◇ Great experimental designs: efficient in eliminating the effects of two factors
- ◇ Have interesting combinatorial structure; generalize latin squares and Youden rectangles

# What is a triple array?

◇  $(r \times c, rc)$ -triple array

1	2	3	4	5	6
7	8	9	10	11	12
13	14	15	16	17	18
19	20	21	22	23	24

◇  $(n \times n, n)$ -triple array: *latin square*

1	2	3	4	5
2	1	4	5	3
3	4	5	1	2
4	5	2	3	1
5	3	1	2	4

◇  $(n \times k, n)$ -triple array: *Youden rectangle*

1	2	3	4	5	6	7
2	3	4	5	6	7	1
4	5	6	7	1	2	3



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- Usually it is assumed that  $\max(r, c) < v < rc$
- ◇  $(r \times c, v)$  determine  $e = \lambda_{rc} = \frac{rc}{v}$ ,  $\lambda_{rr} = \frac{c(e-1)}{r-1}$ ,  $\lambda_{cc} = \frac{r(e-1)}{c-1}$
- $(r \times c, v)$  are *admissible* if  $e, \lambda_{rr}, \lambda_{cc}, \lambda_{rc}$  are integers,  $\max(r, c) < v < rc$

# Extremal triple arrays

- ◇  $v \geq r + c - 1$  **Bagchi, 1998; McSorley–Phillips–Wallis–Yucas, 2005**
- $(r \times c, v)$ -TA is *extremal* if  $v = r + c - 1$

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◇ *Construction*:

**Agrawal, 1966**

symmetric 2-design  $\xrightarrow{\text{assignment problem}}$  extremal TA

		$C_1$	$C_2$	$C_3$	$C_4$	$C_5$	$C_6$
		1,2,4,7,10	2,3,6,9,10	1,3,5,8,10	3,4,6,7,8	2,4,5,8,9	1,5,6,7,9
$R_1$	1,2,3,4,5,6						
$R_2$	1,2,3,7,8,9						
$R_3$	1,4,6,8,9,10						
$R_4$	2,5,6,7,8,10						
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$R_1$	1,2,3,4,5,6	1, 2, 4	2, 3, 6	1, 3, 5	3, 4, 6	2, 4, 5	1, 5, 6
$R_2$	1,2,3,7,8,9	1, 2, 7	2, 3, 9	1, 3, 8	3, 7, 8	2, 8, 9	1, 7, 9
$R_3$	1,4,6,8,9,10	1, 4, 10	6, 9, 10	1, 8, 10	4, 6, 8	4, 8, 9	1, 6, 9
$R_4$	2,5,6,7,8,10	2, 7, 10	2, 6, 10	5, 8, 10	6, 7, 8	2, 5, 8	5, 6, 7
$R_5$	3,4,5,7,9,10	4, 7, 10	3, 9, 10	3, 5, 10	3, 4, 7	4, 5, 9	5, 7, 9

• *Assignment problem*: find  $a_{ij} \in R_i \cap C_j$ :  $a_{ij} \neq a_{kj}$ ,  $a_{ij} \neq a_{il}$

◇ NP-complete if  $R_i, C_j$  are arbitrary

**Fon-Der-Flaass, 1997**

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$R_1$	1,2,3,4,5,6	1, 2, 4	2, 3, 6	1, 3, 5	3, 4, 6	2, 4, 5	1, 5, 6
$R_2$	1,2,3,7,8,9	1, 2, 7	2, 3, 9	1, 3, 8	3, 7, 8	2, 8, 9	1, 7, 9
$R_3$	1,4,6,8,9,10	1, 4, 10	6, 9, 10	1, 8, 10	4, 6, 8	4, 8, 9	1, 6, 9
$R_4$	2,5,6,7,8,10	2, 7, 10	2, 6, 10	5, 8, 10	6, 7, 8	2, 5, 8	5, 6, 7
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◇ NP-complete if  $R_i, C_j$  are arbitrary

**Fon-Der-Flaass, 1997**

◇ Every extremal TA can be constructed this way:

extremal TA  $\rightarrow$  symmetric 2-design

**MPWY, 2005**

# Other constructions

- Constructions of extremal TA avoiding the assignment problem step

- ◇ from *Hadamard matrices*
- ◇ from *Youden rectangles*
- ◇ from *difference sets*

Preece–Wallis–Yucas, 2005

Nilson–Öhman, 2014

Nilson–Cameron, 2017

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- What about constructions of non-extremal triple arrays?
  - ◇ “Small” non-extremal admissible  $(r \times c, v)$ :  $(7 \times 15, 35)$ ,  $(11 \times 45, 99)$ ,  $(15 \times 21, 63)$ ,  $(16 \times 21, 56)$ ,  $(16 \times 25, 100)$ ,  $(13 \times 40, 130)$
  - ◇ Is there a  $(7 \times 15, 35)$ -triple array? **Preece, 1970s**



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  - ◇ There is! MPWY, 2005; Yucas, 2002

31	1	18	16	7	10	5	3	4	2	33	14	19	15	12
26	32	1	2	29	30	28	20	27	11	5	34	3	8	4
1	17	13	9	3	4	21	22	6	35	25	5	24	2	23
6	27	33	28	16	13	35	30	15	10	9	26	12	17	29
16	12	23	32	34	21	15	33	24	22	11	10	8	25	20
21	22	28	24	25	19	7	14	18	29	27	23	26	30	31
11	7	8	14	13	32	20	6	34	18	19	17	35	31	9

- ◇ This is the only example of a non-extremal triple array known so far!

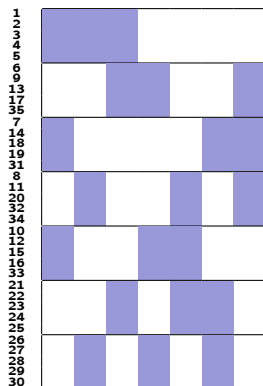
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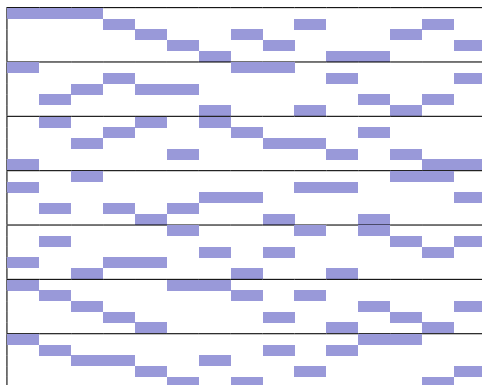
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# A non-extremal $(7 \times 15, 35)$ -triple array



Row design



Column design

- Row design = 5 copies of a symmetric 2-design
- Column design = 7 *parallel classes* = *resolution* of a 2-design
- ◇ Can we get other triple arrays with a similar structure?

## New triple array construction

G.-Öhman, 2023+

- admissible  $(r \times c, v)$ ,  $a := \frac{e(e-1)}{r-1}$  and  $k := \frac{c}{e}$  are integers

- (1) choose a symmetric 2- $(r, e, a)$  design (row design =  $k$  copies of it)
- (2) choose a resolution of a resolvable 2- $(c, e, \lambda_{cc})$  design (column design)
- (3) choose a bijection between blocks of (1) and parallel classes of (2)
- (4) solve the assignment problem

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  - ◇ In a constructed array every triple of two rows and a column have  $a$  common symbols

G.-Öhman, 2023+

- ◇ First general construction for non-extremal TA
- ◇ In a constructed array every triple of two rows and a column have  $a$  common symbols
- ◇ Some extremal triple arrays can be constructed this way:

Figure 1 displays two 12x12 matrices, labeled 'a' and 'b', representing the evolution of the system. The rows and columns are indexed from 1 to 12. Matrix 'a' shows a sparse pattern of blue squares, while matrix 'b' shows a more complex, noisy pattern of blue squares.

## New non-extremal triple arrays

- Only one example of a non-extremal triple array was known before



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### New $(7 \times 15, 35)$ -triple arrays

- 7 non-isomorphic resolutions of a  $2$ -( $15, 3, 1$ ) design (*Kirkman parades*)
  - Exhaustive search on a computer
  - ◇ There are 85 pairwise non-isotopic  $(7 \times 15, 35)$ -TA with the described structure
- G.-Öhman, 2023+**

# of parade  Auth	1	2	3	4	5	6	7
TA found	0	3	24	4	21	21	12

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# of parade  Auth	1	2	3	4	5	6	7
	168	168	24	24	12	12	21
TA found	0	3	24	4	21	21	12

### First $(21 \times 15, 63)$ -triple arrays

- 149+ resolutions of a  $2-(15, 5, 6)$  design **Mathon-Rosa, 1989**
- Exhaustive search is out of the question
- Randomization + greedy nonexhaustive techniques

## An example of a $(21 \times 15, 63)$ -triple array

2	25	46	19	54	4	37	23	55	8	31	58	15	12	18	36	41	44	28	51	62
45	35	41	1	33	6	27	20	58	9	28	62	13	10	55	38	16	52	24	46	50
15	1	42	30	55	54	5	31	35	11	7	39	58	43	16	47	50	19	22	26	63
14	24	61	3	32	16	10	5	40	51	9	43	59	36	26	19	37	53	55	48	28
6	34	10	17	1	8	29	21	42	55	14	37	60	31	63	46	49	43	23	27	53
13	9	47	58	2	5	28	63	34	12	42	44	53	17	25	39	24	20	56	32	49
1	36	63	16	52	7	11	33	4	20	29	59	23	44	56	14	38	42	48	25	51
51	22	62	2	56	47	30	6	36	19	8	38	11	32	17	13	40	27	43	60	52
50	27	3	29	37	18	6	32	59	56	41	7	10	34	15	20	22	54	44	47	61
49	2	11	59	38	46	4	24	56	21	40	9	54	35	61	15	17	26	45	31	29
44	26	1	21	39	53	12	61	6	57	33	8	22	18	13	48	51	40	29	58	35
5	7	2	60	53	48	38	19	41	49	15	61	12	16	27	34	23	45	57	33	30
43	23	40	20	3	9	39	4	57	10	30	60	14	33	62	35	18	25	47	49	54
3	8	48	18	31	52	25	62	5	50	13	45	24	11	57	37	42	21	30	59	34
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6	34	10	17	1	8	29	21	42	55	14	37	60	31	63	46	49	43	23	27	53
13	9	47	58	2	5	28	63	34	12	42	44	53	17	25	39	24	20	56	32	49
1	36	63	16	52	7	11	33	4	20	29	59	23	44	56	14	38	42	48	25	51
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43	23	40	20	3	9	39	4	57	10	30	60	14	33	62	35	18	25	47	49	54
3	8	48	18	31	52	25	62	5	50	13	45	24	11	57	37	42	21	30	59	34
4	3	12	28	57	17	26	22	60	7	32	63	52	45	14	21	39	41	46	50	36

# Open questions

- Non-extremal triple arrays for other parameters
  - $\text{PG}(2, q)$  + resolution of  $\text{PG}(3, q)$ :  $(7 \times 15, 35)$ ,  $(13 \times 40, 130)$ ,  $\dots$ 
    - ◇ couldn't find a solution for  $(13 \times 40, 130)$  even with nonexhaustive search
    - ◇ use "nice" resolutions? use geometry?

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    - ◇ use "nice" resolutions? use geometry?
  - $(11 \times 45, 99)$ ,  $(15 \times 91, 195)$  ...: no resolvable 2-designs are known
  - $(16 \times 21, 56)$ ,  $(16 \times 25, 100)$ , ...: our construction is not applicable

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- Construction of non-extremal TA without the assignment problem step
  - ◇ Find sufficient conditions for our construction to work

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    - ◇ couldn't find a solution for  $(13 \times 40, 130)$  even with nonexhaustive search
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  - $(11 \times 45, 99)$ ,  $(15 \times 91, 195)$   $\dots$ : no resolvable 2-designs are known
  - $(16 \times 21, 56)$ ,  $(16 \times 25, 100)$ ,  $\dots$ : our construction is not applicable
- Construction of non-extremal TA without the assignment problem step
  - ◇ Find sufficient conditions for our construction to work
- **Agrawal's conjecture**: the assignment problem in Agrawal's construction has a solution if  $\lambda_{rc} > 2$  (where  $\lambda_{rc} = |R_i \cap C_j|$ )



# Open questions

- Non-extremal triple arrays for other parameters
  - $\text{PG}(2, q) + \text{resolution of } \text{PG}(3, q)$ :  $(7 \times 15, 35)$ ,  $(13 \times 40, 130)$ ,  $\dots$ 
    - ◇ couldn't find a solution for  $(13 \times 40, 130)$  even with nonexhaustive search
    - ◇ use "nice" resolutions? use geometry?
  - $(11 \times 45, 99)$ ,  $(15 \times 91, 195)$   $\dots$ : no resolvable 2-designs are known
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- Construction of non-extremal TA without the assignment problem step
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- $v \geq r + c - 1$  in any  $(r \times c, v)$ -TA **Bagchi, 1998; MPWY, 2005**  
But are there even admissible  $(r \times c, v)$  with  $v < r + c - 1$ ?
  - ◇ Are there  $r, c, v \in \mathbb{N}$ ,  $\max(r, c) < v < r + c - 1$  such that

$$\frac{rc}{v} \in \mathbb{N}, \quad \frac{c(e-1)}{r-1} \in \mathbb{N}, \quad \frac{r(e-1)}{c-1} \in \mathbb{N}?$$